

Statement Solutions for Wealth Management



FULL SERVICE STATEMENT PROCESSING, PRINTING AND E-DELIVERY SOLUTIONS

Doxim is the leading provider of document processing and content management services to Canadian wealth management firms. Backed by a deep understanding of technology, business and regulatory needs, Doxim has become the trusted provider for over 90% of wealth management firms that leverage the Broadridge brokerage platform.

Established over a decade ago, Doxim today provides statement processing printing and eDelivery solutions to nearly 400 Canadian financial services organizations, coast to coast. We service these organizations either directly through our own service bureau, or indirectly through long-term strategic partnerships with market leading service providers.

Throughout this time, we have delivered exception levels of customer satisfaction, witnessed by a client retention rate which consistently exceeds 97%, year in, year out.

WHAT WE DO

Doxim provides advanced statement composition and processing services using data from any core brokerage platform, outputting documents to both print and electronic formats for online access.

SECURE-PRINT STATEMENT SERVICES

Doxim's comprehensive statement processing services respond to demands from the Wealth Management community for an integrated end-to-end solution to help manage the production and delivery of regulatory documents and related communications, ensuring that all their important client communications are delivered securely, accurately and on-time.

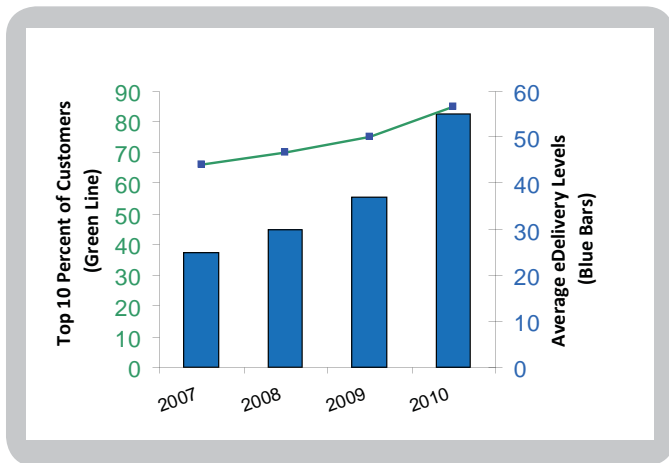
These services include:

- Data Conversion and Optimization
- High Speed Print and Electronic Document Production
- On-Statement Marketing Messages
- Collateral Inserting
- Colour Printing
- Differential Bundling and House-Holding
- Distribution Logistics and Hold Mail Services
- Secure Processing Environment

STATEMENT & CONFIRM EDELIVERY

Today, more and more clients want to opt-out of printed statements and confirms. To accommodate this, Doxim offers advanced eDelivery services via our cloud-based Enterprise Content Management (ECM) platform. Unlike traditional print service providers, we take the task of driving eDelivery adoption very seriously. As evidenced by the fact that, on average, Doxim clients enjoy an average of 55% eDelivery levels, almost four times the average of other financial institutions. And our top ten percentile of clients exceed 85% print statement opt-out.

Statement Solutions



1.1 - Doxim Clients eDelivery Data

Using Doxim ECM, wealth management staff can quickly and easily access statements, trade confirms and other documents online using single sign-on via their brokerage platform. Doxim ECM also interfaces seamlessly with online customer portals, making it easy for clients to access statements, confirms and other transaction documents online, where and when they need them.

VALUE ADDED STATEMENT SERVICES

Because we're focused on providing the very best in statement products and services, Doxim offers a broad range of value added statement solutions beyond simple document processing, print and eDelivery.

Personalized Statement Marketing

Personalized Statement Marketing is an innovative offering that enables wealth management firms to improve the effectiveness of their marketing campaigns, and reduce the costs associated with pre-printed inserts, by placing targeted, relevant marketing messages directly onto statements in both printed and electronic formats.

eNewsletters & eInserts

Our eNewsletters & eInserts capability allows newsletter and/or insert content to be appended to the end of an electronic statement so that it can be viewed online (as one document, contiguous with the eStatement). This ensures that clients who have opted out of print statements continue to receive these important communications.

Better Looking Statements

Our enhanced statement design and composition service converts difficult to read, unattractive statements into attractive, well laid out statements, complete with integrated, targeted marketing messages. They are easier to read and help communicate statement content more effectively to clients.

Looking for Piece of Mind?
Talk to us about the new CTF35 RRSP Plan.

STATEMENT PERIOD ENDING 2011.8.31
ACCOUNT # 1234
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SilverPoint Investments

Account Summary

	Market Value	Percent of Assets
(CAD Funds) Investment Account 013-4922-7		
Cash	1,959.44	4.4%
Common Shares	42,439.00	95.6%
Total Assets	\$ 44,398.44	100.0%
(CAD Funds) RRIF 090-21785-90		
Cash	1,707.76	2.4%
Common Shares	34,551.00	47.8%
Mutual Funds	35,932.93	49.8%
Total Assets	\$ 72,191.69	100.0%

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SPECIAL OFFER
Ask us about our high interest GIC today!

Income Summary

	This Period	Year To Date
(CAD Funds) Investment Account 013-4922-7		
Total Dividends	417.63	1,218.14
Total Interest	0.00	0.00
Total Income	\$ 417.63	\$ 1,218.14

(Continued)

Did You Know
We offer comprehensive tax planning solutions?
Speak to your advisor today to find out more.

On Point With Your Investments.

1.2 - Doxim Better Looking Statement

Statement Solutions

In-line Inserts/Newsletters

We can also print Inserts and Newsletters in-line with statement itself, so that they become an integral part of paper statements that are delivered by mail. This saves money, by avoiding the need to print, distribute, fold and insert these documents as separate items along with the printed statement.

Data Merging and Insertion

Data Merging allows two separate print streams to be merged together post processing, pre-printing/output. This makes it possible to merge two or more documents (e.g. a collection of statements for the same client, or indeed for the same household) so that they can be mailed out in one vs. multiple envelopes.

Data Insertion allows supplementary data to be added to the statement data stream before processing. This makes it possible to do things like add additional client specific data (in the form of text or as enhanced graphics) directly to their statement, again, reducing the number of envelopes that need to be sent to each client.

Enhanced Statement Security

By deploying 2D barcode technology, we can manage advanced document processing options, like printing a logo, address and marketing messages onto closed window envelopes, providing greater security and integrity of the statement content.

LOOKING BEYOND THE STATEMENT

Working closely with our Wealth Management customers to help satisfy their statement processing and output needs has allowed us to gain a deep understanding of their overall document needs. This has driven the development and launch of many other eDocument-centric products and services that leverage the power of the Doxim ECM online document repository. Notable among these are our year-end tax processing service, TaxPack™ and our integrated document imaging solution, easyCapture™.

DOXIM TAX PACK™

Doxim TaxPack™ is a tax forms processing and distribution service that takes the headache out of your year-end tax form process. It allows you to deliver a more secure, higher quality tax package to your customers while saving on postage at the same time.

DOXIM EASYCAPTURE™

Doxim easyCapture™, is an affordable document capture solution that combines robust document scanning and indexing with a friendly user-interface. It allows users to easily scan, index and store critical business documents in the Doxim ECM online repository for secure cross-organizational access.

To find out more about Doxim's powerful document processing, printing and eDelivery solutions, visit us online at www.doxim.com or contact us at info@doxim.com.

Doxim Inc. provides a full suite of enterprise content management products and services that together provide a web-based alternative to the printing and mailing of customer-centric documents such as statements, reports, client records, cheque images and transaction records.

Doxim serves the financial services marketplace across North America, with over 300 clients in banking, credit unions and investment brokerage. These organizations work with Doxim to improve their client communications, streamline document access, meet compliance requirements, and reduce the expense, work effort and environmental impact associated with the creation, delivery and archiving of business critical documents. Doxim is a SAS 70 and CICA 5970 certified organization.

